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On a recent characterization of Riesz bases

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Dedicated to the memory of Professor Arpad Takači

Topics in Fractional calculus and Time-frequency analysis Novi Sad, June 16-17, 2020





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1 Notation and Basic Definitions

- 2 Recall Riesz bases def., classical characterizations
- 3 Focus on one of the characterizations (the one via complete biorthogonal sequences)
- 4 Main result Progress on this characterization

5 Applications





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Notation and basic Definitions

- \bullet ${\cal H}$ separable Hilbert space
- $(e_k)_{k=1}^\infty$ an orthonormal basis for $\mathcal H$



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- \bullet ${\cal H}$ separable Hilbert space
- $(e_k)_{k=1}^\infty$ an orthonormal basis for $\mathcal H$
- F sequence $(f_k)_{k=1}^{\infty}$ with $f_k \in \mathcal{H}, k \in \mathbb{N}$
- G sequence $(g_k)_{k=1}^{\infty}$ with $f_k \in \mathcal{H}, \ k \in \mathbb{N}$





- $\bullet \; (e_k)_{k=1}^\infty$ an orthonormal basis for ${\mathcal H}$
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- G sequence $(g_k)_{k=1}^{\infty}$ with $f_k \in \mathcal{H}, \ k \in \mathbb{N}$
- Def. F Bessel sequence in \mathcal{H} if $\exists B \in (0,\infty)$:

$$\sum_{k=1}^{\infty} |\langle f, f_k \rangle|^2 \le B ||f||^2, \ \forall f \in \mathcal{H}.$$

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Def. F - minimal if $f_n \notin \overline{\text{span}}(f_k)_{k \neq n}^{\infty}, \forall n \in \mathbb{N}$.



Def. Riesz basis for \mathcal{H} - sequence of the form

$(Ve_k)_{k=1}^\infty$

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with $V: \mathcal{H} \to \mathcal{H}$ - bounded bijective op. on \mathcal{H} .

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Riesz bases - introduced by Nina Bari (1946, 1951)

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Recall: The following are equivalent:

- (\mathcal{R}_1) $(f_k)_{k=1}^{\infty}$ forms a Riesz basis for \mathcal{H} .
- (\mathcal{R}_2) $(f_k)_{k=1}^{\infty}$ is a bounded unconditional basis for \mathcal{H} .
- $\begin{array}{l} (\mathcal{R}_3) \ (f_k)_{k=1}^{\infty} \text{ is a basis for } \mathcal{H} \text{ such that} \\ \sum_{k=1}^{\infty} c_k f_k \text{ converges in } \mathcal{H} \Leftrightarrow \sum_{k=1}^{\infty} |c_k|^2 < \infty. \end{array}$
- (\mathcal{R}_4) $(f_k)_{k=1}^{\infty}$ is complete in \mathcal{H} and its Gram matrix $(\langle f_k, f_j \rangle)_{j,k=1}^{\infty}$ determines a bounded bijective operator on ℓ^2 .



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(continuation)

 $(\mathcal{R}_{5}) \ (f_{k})_{k=1}^{\infty} \text{ is complete in } \mathcal{H} \text{ and } \exists A, B \in (0, \infty) :$ $A \sum |c_{k}|^{2} \leq \|\sum c_{k}f_{k}\|^{2} \leq B \sum |c_{k}|^{2} \qquad (1)$ $\forall \text{ finite } (c_{k}) \text{ (hence } \forall \ (c_{k})_{k=1}^{\infty} \in \ell^{2}).$

 (\mathcal{R}_6) $(f_k)_{k=1}^{\infty}$ is a complete Bessel sequence in \mathcal{H} and it has a biorthogonal sequence $(g_k)_{k=1}^{\infty}$: $(g_k)_{k=1}^{\infty}$ is also a complete Bessel sequence in \mathcal{H} .

 $\begin{array}{l} (\mathcal{R}_7) \ (f_k)_{k=1}^{\infty} \text{ is complete in } \mathcal{H} \text{ and it has} \\ \text{a complete biorthogonal } (g_k)_{k=1}^{\infty} : \\ \sum_{k=1}^{\infty} |\langle f, f_k \rangle|^2 < \infty \text{ and } \sum_{k=1}^{\infty} |\langle f, g_k \rangle|^2 < \infty \ \forall f \in \mathcal{H}. \end{array}$

(continuation)

 $(\mathcal{R}_5) \ (f_k)_{k=1}^{\infty} \text{ is complete in } \mathcal{H} \text{ and } \exists A, B \in (0, \infty) :$ $A \sum |c_k|^2 \leq \|\sum c_k f_k\|^2 \leq B \sum |c_k|^2 \qquad (2)$ $\forall \text{ finite } (c_k) \text{ (hence } \forall (c_k)_{k=1}^{\infty} \in \ell^2).$ $(\mathcal{R}_6) \ (f_k)_{k=1}^{\infty} \text{ is a complete Bessel sequence in } \mathcal{H} \text{ and}$ $\text{ it has a biorthogonal sequence } (g_k)_{k=1}^{\infty} :$ $(g_k)_{k=1}^{\infty} \text{ is also a complete Bessel sequence in } \mathcal{H}.$

 $\begin{aligned} (\mathcal{R}_7) & (f_k)_{k=1}^{\infty} \text{ is complete in } \mathcal{H} \text{ and it has} \\ & \text{a complete biorthogonal } (g_k)_{k=1}^{\infty} : \\ & \sum_{k=1}^{\infty} |\langle f, f_k \rangle|^2 < \infty \text{ and } \sum_{k=1}^{\infty} |\langle f, g_k \rangle|^2 < \infty \quad \forall f \in \mathcal{H}. \end{aligned}$

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 (\mathcal{R}_5) $(f_k)_{k=1}^{\infty}$ is complete in \mathcal{H} and $\exists A, B \in (0, \infty)$:

$$A \sum |c_k|^2 \le \|\sum c_k f_k\|^2 \le B \sum |c_k|^2 \qquad (3)$$

 \forall finite (c_k) (hence $\forall (c_k)_{k=1}^{\infty} \in \ell^2$).

 (\mathcal{R}_6) $(f_k)_{k=1}^{\infty}$ is a complete Bessel sequence in \mathcal{H} and it has a biorthogonal sequence $(g_k)_{k=1}^{\infty}$: $(g_k)_{k=1}^{\infty}$ is also a complete Bessel sequence in \mathcal{H} .

On \mathcal{R}_5 and \mathcal{R}_6 :

The lower condition in (3) - more difficult to check than the upper one!

Completeness - less restrictive, but not easy to check either!



Main Result



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Main Result:

Removal of

a completeness-condition in \mathcal{R}_6



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 $\begin{array}{l} (\mathcal{R}_6) \ (f_k)_{k=1}^{\infty} \ \text{is a complete Bessel sequence in } \mathcal{H} \ \text{and} \\ \text{it has a biorthogonal sequence } (g_k)_{k=1}^{\infty} \ \text{:} \\ (g_k)_{k=1}^{\infty} \ \text{is also a complete Bessel sequence in } \mathcal{H}. \\ \Leftrightarrow \end{array}$

 $\begin{array}{l} (\mathcal{R}_{6}^{new1}) \ (f_{k})_{k=1}^{\infty} \text{ is a complete Bessel sequence in } \mathcal{H} \text{ and} \\ \text{ it has a biorthogonal sequence } (g_{k})_{k=1}^{\infty} : \\ (g_{k})_{k=1}^{\infty} \text{ is also a complete Bessel sequence in } \mathcal{H}. \\ \Leftrightarrow \end{array}$

 (\mathcal{R}_6^{new2}) $(f_k)_{k=1}^{\infty}$ is a complete Bessel sequence in \mathcal{H} and it has a biorthogonal sequence $(g_k)_{k=1}^{\infty}$: $(g_k)_{k=1}^{\infty}$ is also a complete Bessel sequence in \mathcal{H} .



• It reduces (\mathcal{R}_6) to a characterization which does not contain extra-conditions.

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• It reduces (\mathcal{R}_6) to a characterization which does not contain extra-conditions.

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• It simplifies the verification of a Riesz basis.



- It reduces (\mathcal{R}_6) to a characterization which does not contain extra-conditions.
- It simplifies the verification of a Riesz basis.
- It leads to conclusions which can not be delivered from Riesz basis $\Leftrightarrow (\mathcal{R}_6)$.

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• It leads to conclusions which can not be delivered from Riesz basis $\Leftrightarrow (\mathcal{R}_6)$.

E.g., consider: $g(x) = e^{-\pi x^2}$ Λ - sequence of uniformly separated points from \mathbb{R}^2 the Gabor system $G_{g,\Lambda} = (e^{2\pi i \mu \cdot} g(\cdot - \tau))_{(\tau,\mu) \in \Lambda}$. Known:

- $G_{g,\Lambda}$ is Not a Riesz basis for $L^2(\mathbb{R})!$
- $G_{g,\Lambda}$ is a Bessel sequence!
- $G_{g,\Lambda}^{\circ}$ is minimal and complete for certain $\Lambda!$

The new result \Rightarrow

the biorthogonal of $G_{g,\Lambda}$ is not Bessel! •••

Further applications expected.





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THANK YOU

FOR YOUR ATTENTION!

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TO THE CONFERENCE ORGANIZERS!